

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket Number 98-HSX001-C1	Application Number 09/465,607
	Applicants TIMOTHY KEISER et al.	
	Filing Date December 17, 1999	Group Art Unit 3691

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
		6,112,189	8/29/2000	Rickard et al.			
		2003/0200164	10/2003	Jacobs, Dale F.			
		2004/0088242	5/2004	Asher, et. al.			
		7,236,955	6/2007	Sugahara, James Takeshi			
		2007/0136074	6/2007	Hahn, et. a.			
		2002/0095369	7/1/2002	Kaplan, et. al.			
		6,792,411	9/14/2004	Massey, Jr.			
		7,567,931	7/28/2009	Asher et al.			
		6,692,916	2/2004	Bevilacqua et al.			
		2009/0210335	8/20/2009	Asher et al.			
		6,154,730	11/28/2000	Adams et al.			
		6,260,019	7/10/2001	Courts			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation
		WO 2003/100567	12/4/2003	PCT			
		WO 2005/017700	2/24/2005	PCT			
		WO 01/77940	10/18/2001	PCT			
		WO 02/25547	3/28/2002	PCT			

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
		USPTO Office Action for Application No. 10/655409, May 26, 2010 (13 pages).
		USPTO Office Action for Application No. 11/189262, April 1, 2010 (19 pages).
		USPTO Pre-Brief Appeal Conference Decision for Application No. 11/279997, June 3, 2010 (2 pages).
		USPTO Pre-Brief Appeal Conference Decision for Application No. 11/379171, June 7, 2010 (2 pages).
		International Preliminary Report on Patentability for International Application No. PCT/US07/09389, dated October 22, 2008 (5 pages).
Examiner Signature		Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket Number 98-HSX001-C1	Application Number 09/465,607
	Applicants TIMOTHY KEISER et al.	
	Filing Date December 17, 1999	Group Art Unit 3691

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
		2002/0152458	10/17/2002	Eyer et al.			
		2005/0010487	1/13/2005	Weisbach et al.			
		2005/0038706	2/17/2005	Yazdani et al.			
		2005/0071250	3/31/2005	Weisbach et al.			
		5,946,667	8/31/1999	Tull, Jr., et al.			
		2002/0038270	3/28/2002	Shin et al.			
		7,062,457	6/13/2006	Kaufman			
		7,263,504	8/28/2007	Galai et al.			
		2002/0087447	7/4/2002	McDonald et al.			
		2004/0015427	1/22/2004	Camelio			
		2004/0143530	7/22/2004	Galai et al.			
		2004/0260575	12/23/2004	Massey, Jr.			
		2005/0044025	2/24/2005	Tutty et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
		International Preliminary Report on Patentability for International Application No. PCT/US07/09383, dated October 22, 2008 (6 pages).
		International Preliminary Report on Patentability for International Application No. PCT/US04/09798, dated October 1, 2005 (4 pages).
		International Preliminary Report on Patentability for International Application No. PCT/US04/09796, dated April 28, 2009 (4 pages).
		USPTO Office Action for Application No. 09/660296, August 27, 2003 (10 pages).
		USPTO Office Action for Application No. 09/660296, May 18, 2004 (14 pages).
Examiner Signature		Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket Number 98-HSX001-C1	Application Number 09/465,607
	Applicants TIMOTHY KEISER et al.	
	Filing Date December 17, 1999	Group Art Unit 3691

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
		2005/0080705	4/14/2005	Chaganti			
		2005/0097022	5/5/2005	Silman			
		2005/0108131	5/19/2005	Halikias, Thomas T.			
		2006/0235783	10/19/2006	Ryles et al.			
		2006/0259312	11/16/2006	DeTitta, John E.			
		2007/0100729	5/3/2007	Chen			
		2004/0267657	12/30/2004	Hecht			
		6,418,417	7/2002	Corby, et. al.			
		2003/0093356	5/2003	Kaufman, Alan R.			
		5,113,423	5/1994	Clark, Deborah P.			
		2002/0073021	6/2002	Ginsberg, et. al.			
		6,482,091	11/2002	Kobayashi, et. al.			
		2003/0172026	9/2003	Tarrant, Jeffrey G.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
		USPTO Advisory Action for Application No. 09/660296, October 6, 2004 (3 pages).
		USPTO Office Action for Application No. 09/660296, December 30, 2004 (31 pages).
		USPTO Office Action for Application No. 09/660296, June 28, 2005 (25 pages).
		USPTO Office Action for Application No. 09/660296, January 30, 2006 (16 pages).
		"A New Craze for March's Maddest: Online 'Trading' of NCAA Shares", Barbara Martinez, The New York Times Company: Abstracts, March 8, 1994 (1 page).
Examiner Signature		Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket Number 98-HSX001-C1	Application Number 09/465,607
	Applicants TIMOTHY KEISER et al.	
	Filing Date December 17, 1999	Group Art Unit 3691

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
		2004/0039685	2/2004	Hambrecht, et. al.			
		2004/0078268	4/2004	Sprogis, David H.			
		2004/0068738	4/2004	Kinoshita, Haruhiko			
		2004/0138977	7/2004	Tomkins, et. al.			
		7174517	2/2007	Barnett, et. al.			
		7340425	3/2008	Boyle, et. al.			
		7409367	8/2008	McGill, et. al.			
		7438640	10/2008	Amour, Marc			
		2006/0293985	12/1/2006	Lederman, et. al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
		FILM FINANCES, INC. The World Leader in Completion Guarantees. October, 1999 Internet print-out (1 page).
		Mukta Arts Limited; the Internet print-out; 1999 (20 pages).
		"Film Venture; Funding the Next Generation of Filmmakers," Film Investors Group, (5 pgs) undated.
		Lee, "Sport Marketing Strategies Through the Analysis of Sport Consumer Behavior and Factors 2000 Influencing Attendance," UMI Dissertation Services, (139 pgs) 2000.
		Eng, "Producing New Opportunities," Presstime, (2 pgs) February 2005.
Examiner Signature		Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket Number 98-HSX001-C1	Application Number 09/465,607
	Applicants TIMOTHY KEISER et al.	
	Filing Date December 17, 1999	Group Art Unit 3691

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
		Notification of Transmittal of the International Search Report and Written Opinion of the International Searching Authority, or the Declaration for International Application No. PCT/US2005/01276 (9 pgs), December 18, 2006.
		Notification of Transmittal of the International Search Report and Written Opinion of the International Searching Authority, or the Declaration for International Application No. PCT/US2006/19316 (6 pgs), January 8, 2007.
		GOLIATH Business Knowledge on Demand; Innkeepers USA Trust Announces 2001 Dividend Allocation; (1 pg) January 24, 2002.
		Pre-Brief Appeal Conference Decision for U.S. Application No. 10/914,467, May 29, 2009 (2 pgs).
		USPTO Office Action for Application No. 10/914,467, March 19, 2008 (25 pgs).
		USPTO Office Action for Application No. 11/133,972, March 31, 2008 (18 pgs).
		USPTO Office Action for Application No. 10/914,528, February 20, 2008 (13 pgs).
		USPTO Office Action for Application No. 10/914,528, April 20, 2009 (11 pgs).
		USPTO Office Action for Application No. 10/914,467, December 15, 2008 (14 pgs).
		USPTO Office Action for Application No. 11/133,972, December 23, 2008 (20 pgs).
		US PTO Office Action for Application No. 12/408,370; 11 pages; August 6, 2009
		USPTO Office Action for Application No. 10/914,706, February 21, 2008 (19 pgs).
Examiner Signature		Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket Number 98-HSX001-C1	Application Number 09/465,607
	Applicants TIMOTHY KEISER et al.	
	Filing Date December 17, 1999	Group Art Unit 3691

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
		Notice of Allowance for Application No. 10/914,528; Attorney Docket No. 04-6196; 38 pages; December 11, 2009
		Gaylord Entertainment, Warner Brothers pictures enters in to Co-Financing/production Pact, September 14, 2000; Business Wire; Gaylord.
		CINE-TEC Motion Picture Funding and Marketing Consultants, http://cine-tec.com/whatwedo.htm ,2000 (CINE-TEC).
		Notice of Allowance for Application No. 10/914,467; Attorney Docket No. 04-6197; 38 pages; January 28, 2010
		Notice of Allowance for Application No. 11/133,972; Attorney Docket No. 04-6197CIP; 22 pages; November 25, 2009
		Michael C. McCabe; You have now entered the Bowie Bond Era; 1997 p 8-16; www.freddiemac.com/finance/smm/july97/pdfs/mccabe.pdf (McCabe)
		Office Action for U.S. Application No. 10/914,467, February 1, 2008 (6 pgs).
		USPTO Office Action for Application No. 10/914706, November 4, 2008 (8 pages).
		USPTO Notice of Allowance and Fees Due for Application No. 10/914706, March 5, 2009 (7 pages).
		USPTO Notice of Allowance and Fees Due for Application No. 10/914706, April 16, 2009 (2 pages).
		USPTO Notice of Allowance and Fees Due for Application No. 10/914706, May 12, 2009 (3 pages).
		USPTO Notice of Allowance and Fees Due for Application No. 10/914706, June 11, 2009 (2 pages).
		USPTO Notice of Allowance and Fees Due for Application No. 10/914706, July 2, 2009 (2 pages).
		Canadian Exam Report for Application No. 2533524, dated June 7, 2010 (4 pages); Attorney Docket No. 04-6191WOCA
Examiner Signature		Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 98-HSX001-C1	Application Number 09/465,607
		Applicants TIMOTHY KEISER et al.	
		Filing Date December 17, 1999	Group Art Unit 3691
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published	
		USPTO Notice of Allowance and Fees Due for Application No. 12/408370, March 30, 2010 (7 pages).	
		C. Edward Fee, The Costs of Outside Equity Control; Evidence from Motion Picture Financing Decisions, The Journal of Business, Vol. 75, No.4 (Oct. 2002), pp. 681-711 (Costs).	
		EP Examination and Search Report for Application No. 05705731.7 dated 12/18/2008; Attorney Docket No. 04-6191WOEP; 4 pages	
		AU Examiners Report for Application No. 2005208563 dated 11/25/2009; Attorney Docket No. 04-6191WOAU; 3 pages	
		AU Notice of Acceptance for Application No. 2005208563 dated 2/7/2010; Attorney Docket No. 04-6191WOAU; 3 pages	
		Office Action for U.S. Application Serial No. 11/279,997, mailed August 10, 2010, Attorney Docket No. 98-HSX001-CIP3; 23 pp.	
		U.S. Patent Application No. 10/667,755 filed 9/22/2003; Attorney Docket No. 03-6164; 34 pages	
		U.S. Patent Application No. 10/667,923 filed 9/22/2003; Attorney Docket No. 03-6161; 43 pages	
		Advisory Action for U.S. Application No. 10/914,467, March 3, 2009 (2 pgs).	
		U.S. Patent Application No. 10/914,528 filed 8/9/2004; Attorney Docket No. 04-6196; 35 pages	
		U.S. Patent Application No. 10/914,706 filed 8/9/2004; Attorney Docket No. 04-6191; 33 pages	
		U.S. Patent Application No. 12/408,370 filed 3/20/2009; Attorney Docket No. 04-6191-C1; 33 pages	
		U.S. Patent Application No. 12/823,337 filed 6/25/2010; Attorney Docket No. 04-6191-C2; 33 pages	
		U.S. Patent Application No. 10/914,467 filed 8/9/2004; Attorney Docket No. 04-6197; 31 pages	
Examiner Signature		Date Considered	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket Number 98-HSX001-C1	Application Number 09/465,607
	Applicants TIMOTHY KEISER et al.	
	Filing Date December 17, 1999	Group Art Unit 3691

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
		U.S. Patent Application No. 11/133,972 filed 5/20/2005; Attorney Docket No. 04-6197CIP; 36 pages
		U.S. Patent Application No. 12/614,610 filed 11/9/2009; Attorney Docket No. 03-6157-C1; 26 Pages
		U.S. Patent Application No. 12/709,067 filed 2/19/2010; Attorney Docket No. 04-6197-C1; 27 pages
		U.S. Patent Application No. 12/709,047 filed 2/19/2010; Attorney Docket No. 04-6197CIP-C1; 44 pages
		U.S. Patent Application No. 12/705,059 filed 2/12/2010; Attorney Docket No. 04-6196-C1; 29 pages
		Notice of Allowance for Application No. 11/133,972; Attorney Docket No. 04-6197CIP; 2 pages; March 1, 2010
		U.S. Patent Application No. 10/655,409 filed 9/4/2003; Attorney Docket No. 98-HSX001-C5; 20 pages
		U.S. Patent Application No. 11/351,614 filed 2/9/2006; Attorney Docket No. 98-HSX001-C6; 30 pages
		Pre-Brief Appeal Conference Decision for Application No. 11/133,972; 2 pages; April 30, 2009
		U.S. Patent Application No. 11/189,262 filed 7/25/2005; Attorney Docket No. 05-HSX001CIP; 73 pages
		U.S. Patent Application No. 11/279,997 filed 4/17/2006; Attorney Docket No. 98-HSX001CIP3; 65 pages
		U.S. Patent Application No. 11/379,171 4/18/2006; Attorney Docket No. 98-HSX001CIP4; 61 pages
		U.S. Patent Application No. 08/620,906 filed 3/25/1996; Attorney Docket No. 98-HSX001; 31 pages
		U.S. Patent Application No. 09/184,571 filed 11/2/1998; Attorney Docket No. 98-HSX001-CIP1; 44 pages
Examiner Signature		Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 98-HSX001-C1	Application Number 09/465,607
		Applicants TIMOTHY KEISER et al.	
		Filing Date December 17, 1999	Group Art Unit 3691
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published	
		U.S. Patent Application No. 10/301,527 filed 11/21/2002; Attorney Docket No. 98-HSX001-C4; 44 pages	
		U.S. Patent Application No. 09/382,907 filed 8/25/1999; Attorney Docket No. 98-HSX001-CIP2; 26 pages	
		U.S. Patent Application No. 10/822,484 filed 4/12/2004; Attorney Docket No. 03-6157; 26 pages	
		Advisory Action for U.S. Application Serial No. 10/655,409, mailed November 17, 2005, 3 pp.	
		Pre-Brief Appeal Conference decision for Application No. 11/351,614; July 7, 2009 (3 pages).	
		Examiner's Answer to Appeal Brief for U.S. Application Serial No. 09/382,907, mailed July 2, 2004, 17 pp.	
		U.S. Patent Application No. 09/660,296 filed September 13, 2000; 42 pages	
		Pre-Brief Conference Decision for U.S. Application Serial No. 11/189,262, mailed February 26, 2010, 2 pp.	
		Office Action for U.S. Application Serial No. 10/822,484, mailed December 13, 2004, 5 pp.	
		Pre-Brief Appeal Conference Decision for U.S. Application Serial No. 10/822,484, mailed January 16, 2009, 2 pp.	
		Notice of Allowance for U.S. Application Serial No. 10/822,484, mailed October 1, 2009, 18 pp.	
		Examiner Interview Summary Record for U.S. Patent Application No. 12/614,610 dated August 17, 2010; Attorney Docket No. 03-6157-C1; 3 Pages	
		Pre-Brief Appeal Conference Decision for U.S. Application Serial No. 11/279,997, mailed June 3, 2010, 2 pp.	
		USPTO Examiner Interview Summary Record for Application No. 10/667,755; dated November 9, 2009 (3 pages).	
Examiner Signature			Date Considered

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 98-HSX001-C1	Application Number 09/465,607
		Applicants TIMOTHY KEISER et al.	
		Filing Date December 17, 1999	Group Art Unit 3691
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published	
		Notice of Allowance for Application No. 10/914,528; Attorney Docket No. 04-6196; 3 pages; March 1, 2010	
		Office Action for U.S. Application No. 10/914,528; Attorney Docket No. 04-6196; 7 pages; January 23, 2009	
		Notice of Allowance for Application No. 10/914,467, February 26, 2010 (3 pgs).	
Examiner Signature			Date Considered